

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

-----X  
In re

LEHMAN BROTHERS HOLDINGS INC., *et al.*,  
  
Debtors.

:  
: Chapter 11 Case No.

:  
: 08-13555 (JMP)  
: (Jointly Administered)

:  
:-----X  
Ref. Docket No. 31782, 32422,  
32486, 32589, 32689, 32691, 32828,  
32830, 32832, 32833, 32834, 32839,  
32842, 32843, 32847, 32849, 32852,  
32855, 32857, 32859, 32864, 32865,  
32868, 32869, 32900

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On December 20, 2012, I caused to be served the "Notice of Transfer of Claim Pursuant to F.R.B.P.3001 (E) (2) or (4)," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez

Lauren Rodriguez

Sworn to before me this  
27<sup>th</sup> day of December, 2012

/s/ Panagiota Manatakis

Notary Public, State of New York  
No. 01MA6221096  
Qualified in Queens County  
Commission Expires April 26, 2014

## **EXHIBIT A**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e) (2) or (4)**

Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: CC ARBITRAGE, LTD.  
TRANSFEROR: BARCLAYS BANK PLC  
C/O CASTLE CREEK ARBITRAGE, LLC  
ATTN: CONSTANCE WICK  
227 WEST MONROE, SUITE 3550  
CHICAGO IL 60606

CC ARBITRAGE, LTD.  
SIDLEY AUSTIN LLP  
ATTN: ROBERT SCHEININGER  
787 SEVENTH AVENUE  
NEW YORK NY 10019

Please note that your claim # 60699-09 in the above referenced case and in the amount of  
\$1,856,050.24 allowed at \$1,176,000.00 has been transferred (unless previously expunged by court order)

CC ARB SIF I, LTD.  
TRANSFEROR: CC ARBITRAGE, LTD.  
C/O CASTLE CREEK ARBITRAGE, LLC  
227 WEST MONROE STREET, SUITE 3550  
CHICAGO IL 60606

No action is required if you do not object to the transfer of your claim. However, **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 31782 in your objection.  
If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 12/20/2012

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on December 20, 2012.

**EXHIBIT B**

TIME: 15:31:05  
DATE: 12/20/12

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

PAGE: 1

Name	Address
ALDEN GLOBAL DISTRESSED OPPORTUNITIES MASTER FUND, L.P. BANCA MEDIOLANUM	TRANSFEROR: J.P. MORGAN SECURITIES PLC C/O ALDEN GLOBAL CAPITAL ATTN: ITHRAN OLIVACCE 889 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022
CC ARB SIF I, LTD. CC ARBITRAGE, LTD. CC ARBITRAGE, LTD.	TRANSFEROR: INTESA SANPAOLO PRIVATE BANKING S.P.A. BANCA MEDIOLANUM S.P.A. ATTN: HEAD OF OPERATION CONTROL UNIT VIA F. SFORZA, 15 BASTGLIO MI 20080 ITALY
CHENAVARI FINANCIAL ADVISORS LTD	TRANSFEROR: CC ARBITRAGE, LTD. C/O CASTLE CREEK ARBITRAGE, LLC 227 WEST MONROE STREET, SUITE 3550 CHICAGO IL 60606
CVF LUX FINCO, LLC	SIDLEY AUSTIN LLP ATTN: ROBERT SCHEININGER 787 SEVENTH AVENUE NEW YORK NY 10019
CVF LUX MASTER S.A.R.L.	TRANSFEROR: BARCLAYS BANK PLC C/O CASTLE CREEK ARBITRAGE, LLC ATTN: CONSTANCE WICK 227 WEST MONROE, SUITE 3550 CHICAGO IL 60606
ELLIOTT ASSOCIATES, L.P.	TRANSFEROR: SPARKASSE FULDA ATTN: GUILHEM GOYARD, MANAGING DIRECTOR 14 GROSVENOR CRESCENT LONDON SW1X 7EE UNITED KINGDOM
ELLIOTT INTERNATIONAL, L.P.	TRANSFEROR: CVF LUX MASTER S.A.R.L. C/O CAR VAL INVESTORS, LLC ATTN: TERE SALBERG 9320 EXCELSIOR BLVD. HOPKINS MN 55343
G T S PROPERTIES LTD	TRANSFEROR: CVF LUX MASTER S.A.R.L. C/O CAR VAL INVESTORS, LLC ATTN: TERE SALBERG 9320 EXCELSIOR BLVD., 7TH FLOOR HOPKINS MN 55343
GOLDMAN SACHS BANK USA (SUCCESSOR BY MERGER TO	TRANSFEROR: JPMORGAN CHASE BANK, N.A. C/O CARVAL INVESTORS, LLC ATTN: TERE SALBERG 9320 EXCELSIOR BLVD., 7TH FLOOR HOPKINS MN 55343
GOLDMAN SACHS BANK USA (SUCCESSOR BY MERGER TO	TRANSFEROR: LIVERPOOL LIMITED PARTNERSHIP, THE C/O ELLIOTT MGMT CORP - MICHAEL STEPHAN 40 WEST 57TH STREET NEW YORK NY 10019
GOLDMAN SACHS INTERNATIONAL	TRANSFEROR: ELLIOTT ASSOCIATES, L.P. C/O ELLIOTT MGMT CORP - MICHAEL STEPHAN 40 WEST 57TH STREET NEW YORK NY 10019
GOLDMAN SACHS LENDING PARTNERS LLC	68 STUART COURT LOS ALTOS CA 94022
GOLDMAN SACHS LENDING PARTNERS LLC	ATTN: RICK CANONICO 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302
HALCYON LOAN TRADING FUND LLC	CLEARY GOTTLIEB STEEN & HAMILTON LLP ATTN: SETH GROSSHANDLER, ESQ. ONE LIBERTY PLAZA NEW YORK NY 10006
HALCYON LOAN TRADING FUND LLC	ATTN: RICK CANONICO 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302
HCM LP	CLEARY GOTTLIEB STEEN & HAMILTON LLP ATTN: SETH GROSSHANDLER ESQ ONE LIBERTY PLAZA NEW YORK NY 10006
HCM LP	TRANSFEROR: GOLDMAN SACHS BANK USA (SUCCESSOR BY MERGER TO C/O GOLDMAN, SACHS & CO. ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302
HLTS FUND II LP	TRANSFEROR: GOLDMAN SACHS INTERNATIONAL C/O GOLDMAN, SACHS & CO. ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302
INTESA SANPAOLO PRIVATE BANKING S.P.A.	TRANSFEROR: GOLDMAN SACHS LENDING PARTNERS LLC C/O HALCYON ASSET MANAGEMENT LP - M SELTZER 477 MADISON AVENUE, 8TH FLOOR NEW YORK NY 10022
J.P. MORGAN SECURITIES PLC	TRANSFEROR: GOLDMAN SACHS LENDING PARTNERS LLC C/O HALCYON ASSET MANAGEMENT LP- M SELTZER 477 MADISON AVENUE, 8TH FLOOR NEW YORK NY 10022
JP MORGAN SECURITIES LLC	TRANSFEROR: GOLDMAN SACHS LENDING PARTNERS LLC C/O HALCYON ASSET MANAGEMENT LP - M SELTZER 477 MADISON AVENUE, 8TH FLOOR NEW YORK NY 10022
LIQUIDATION OPPORTUNITIES MASTER FUND, L.P.	TRANSFEROR: GOLDMAN SACHS LENDING PARTNERS LLC C/O HALCYON ASSET MANAGEMENT LP - M SELTZER 477 MADISON AVENUE, 8TH FLOOR NEW YORK NY 10022
LIQUIDATION OPPORTUNITIES MASTER FUND, L.P.	TRANSFEROR: GOLDMAN SACHS LENDING PARTNERS LLC C/O HALCYON ASSET MANAGEMENT LP - M SELTZER 477 MADISON AVENUE, 8TH FLOOR NEW YORK NY 10022
LIVERPOOL LIMITED PARTNERSHIP, THE LUZERNER KANTONALBANK AG	REF. PAOLO POLIASTRI (LEGAL DEPARTMENT) VIA HOEPLI, 10 MILANO 20121 ITALY
NATIONAL BANK OF FUJAIRAH PSC	TRANSFEROR: RBS COUTTS BANK AG ATTN: JEFFREY L. PANZO MAIL CODE: NY1-M138 383 MADISON AVENUE - FLOOR 37 NEW YORK NY 10179
NIDWALDNER KANTONALBANK	TRANSFEROR: NATIONAL BANK OF FUJAIRAH PSC C/O J.P. MORGAN SECURITIES MAIL CODE: NY1-M138 ATTN: JEFFREY L. PANZO 383 MADISON AVENUE, FLOOR 37 NEW YORK NY 10179
ROYAL BANK OF SCOTLAND, PLC, THE SPARKASSE FULDA	TRANSFEROR: CHENAVARI FINANCIAL ADVISORS LTD C/O ALDEN GLOBAL CAPITAL ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022
STONE LION PORTFOLIO LP	TRANSFEROR: J.P. MORGAN SECURITIES PLC C/O ALDEN GLOBAL CAPITAL ATTN: ITHRAN OLIVACCE 889 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022
TAI, WINFRED	TRANSFEROR: GOLDMAN, SACHS & CO. C/O ELLIOTT MANAGEMENT CORPORATION ATTN: MICHAEL STEPHAN 40 WEST 57TH STREET NEW YORK NY 10019
TURNPIKE LIMITED	TRANSFEROR: NIDWALDNER KANTONALBANK PILATUSSTRASSE 12 LUZERN 6002 SWITZERLAND

Total Number of Records Printed

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EPIQ BANKRUPTCY SOLUTIONS, LLC